

# PATENT APPLICATION FEE DETERMINATION RECORD

Effective October 1, 2001

Application or Docket Number

655.00947

## CLAIMS AS FILED - PART I

	(Column 1)	(Column 2)
TOTAL CLAIMS	20	
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	20 minus 20 =	* 0
INDEPENDENT CLAIMS	6 minus 3 =	* 3
MULTIPLE DEPENDENT CLAIM PRESENT <input type="checkbox"/>		

\* If the difference in column 1 is less than zero, enter "0" in column 2

## CLAIMS AS AMENDED - PART II

5-13-04

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	* 22	Minus ** 20	= 2
Independent	* 3	Minus *** 6	= 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

## BEST AVAILABLE COPY

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	*	Minus **	=
Independent	*	Minus ***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	* 14	Minus **	=
Independent	*	Minus ***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

- \* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
  - \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."
  - \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."
- The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

SMALL ENTITY TYPE ☐

OR OTHER THAN SMALL ENTITY

RATE	FEE
BASIC FEE	370.00
X\$ 9=	
X42=	
+140=	
TOTAL	

RATE	FEE
BASIC FEE	740.00
X\$18=	0
X84=	252.00
+280=	0
TOTAL	992.00

SMALL ENTITY TYPE ☐

OR OTHER THAN SMALL ENTITY

RATE	ADDITIONAL FEE
X\$ 9=	
X42=	
+140=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	360.00
X84=	
+280=	
TOTAL ADDIT. FEE	360.00

RATE	ADDITIONAL FEE
X\$ 9=	
X42=	
+140=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X84=	
+280=	
TOTAL ADDIT. FEE	

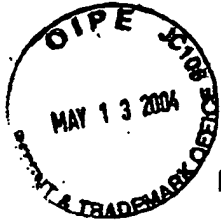
RATE	ADDITIONAL FEE
X\$ 9=	
X42=	
+140=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X84=	
+280=	
TOTAL ADDIT. FEE	

United States Patent and Trademark Office  
- Sales Receipt -

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655.00947  
Index 904  
PATENT

0243

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
STEPHEN B. MEMORY et al ) SPLIT FIN FOR A HEAT EXCHANGER  
Serial No. 10/020,782 ) Group Art Unit 3743  
Filed December 12, 2001 ) Examiner Tho V. Duong

AMENDMENT "C"

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RECEIVED  
MAY 18 2004  
TECHNOLOGY CENTER R3700

Sir:

In response to the Office Action dated February 10, 2004 (Paper No. 12),

Applicants amend as follows:

37 CFR 1.8  
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 10, 2004.

Signature: Karen Sanderson  
Name: Karen Sanderson

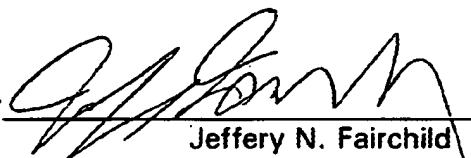
are respectfully submitted to be allowable, it is believed that added claims 24-35 should be considered and are allowable.

In view of the foregoing, Applicants respectfully request reconsideration of the rejections of claims 8, 13, 20 and 23, consideration of new claims 24-35 in view of the believed allowability of claims 8 and 23, and consideration of withdrawn claims 14-19 in view of the believed allowability of claim 13.

Respectfully submitted,

WOOD, PHILLIPS, KATZ,  
CLARK & MORTIMER

By

  
Jeffery N. Fairchild  
Reg. No. 37,825

May 10, 2004

500 West Madison Street  
Suite 3800  
Chicago, IL 60661-2511  
(312) 876-1800

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Docket No. 655.00947

Sir:

Transmitted herewith for filing is the patent application of:

Inventor: Stephen Memory, C. James Rogers, Gregory G. Hughes, Frank M. Grippe, Rif Cheema,  
For: SPLIT FIN FOR A HEAT EXCHANGER William Karkusen and Kenneth Ritt

Enclosed are:

- ☒ 3 sheets of drawing.  
☐ An assignment of the invention to \_\_\_\_\_ application.  
☐ A certified copy of a \_\_\_\_\_ application.  
☐ An associate power of attorney.  
☐ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.  
☐

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	20 - 20 =	0
INDEP. CLAIMS	6 - 3 =	2
MULTIPLE DEPENDENT CLAIM PRESENTED		

\*If the difference in Col. 1 is less than zero, enter 0 in Col. 2.

## SMALL ENTITY

RATE	FEE
	\$ 370
x 9 =	
x 42 =	
+ 140 =	
TOTAL	

OTHER THAN A  
SMALL ENTITY

RATE	FEE
	\$ 740
x 18 =	\$ 0
x 84 =	\$168
+ 280 =	
TOTAL	\$908

- ☐ Please charge my Deposit Account No. \_\_\_\_\_ the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$ 908.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-0785. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-0785. A duplicate copy of this sheet is enclosed.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" mailing label number EL841173957IIS  
 Date of Deposit December 12, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Karen A. Sanderson

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

Respectfully submitted,

  
 Jeffrey L. Clark, Reg. No. 29,141